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ATTORNEY DOCKET NO.DASI.005.00US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Philippe Pouletty *et al.*

Serial No.: 10/057,791

Filed: January 23, 2002

Title: **New amphetamine derivatives, antibodies against them and pharmaceutical compositions containing them**

) Examiner: Not yet assigned

) Art Unit: Not yet assigned

) TRANSMITTAL

) RESPONSE TO NOTICE TO FILE

) MISSING PARTS

BOX MISSING PARTS

Commissioner for Patents

Washington, D.C. 20231

Sir:

Transmitted herewith is a Response to Notice to File Missing Parts along with the appropriate fee(s):

- [X] Five executed Declarations and Powers of Attorney along with:
- [X] A Petition to File under 37 C.F.R. 1.47(a).
- [X] A Declaration by Barbara Rae-Venter of Facts in Support for Filing on Behalf of Inventor Under 37 C.F.R. §1.47(a).
- [X] A Declaration by Holly Tucker of Facts in Support for Filing on Behalf of Inventor Under 37 C.F.R. §1.47(a).
- [X] Exhibits A-G in support of filing under 37 C.F.R. §1.47(a).

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231:

September 23 2002
(Date)

K. Patchen
(Signature)

Karen Patchen
(Printed Name)

RECEIVED

OCT 25 2002

OFFICE OF PETITIONS

PATENT



ATTORNEY DOCKET NO. DASI.005.00US

☒ Return receipt postcard.☒ Surcharge

[] For a large entity \$130.00

☒ For a small entity \$65.00**Total Surcharge****\$ 65.00**☒ Copy of Notice to File Missing Parts of Nonprovisional Application (Part 2).☒ Petition for Extension of Time.☒ Recordation Form Cover Sheet and an executed Assignment.

<u>Extension of Time Fee</u>	<u>Small Entity</u>	<u>Large Entity</u>
[] One Month	\$ 55	\$ 110
[] Two Months	\$200	\$ 400
[] Three Months	\$460	\$ 920
<input checked="" type="checkbox"/> Four Months	\$720	\$1440
[] Five Months	\$980	\$1960

Extension of Time Fee**\$720.00****Petition Fee (1.17(h))****\$130.00**4. The filing fee has been
calculated as shown below:SMALL ENTITYOTHER THAN A
SMALL ENTITY

<u>FOR:</u>	<u>NO. FILED</u>	<u>NO. EXTRA</u>	<u>RATE</u>	<u>FEE</u>	<u>RATE</u>	<u>FEE</u>
Basic Fee			\$370	\$370	\$740	\$
Total Claims	65	45	\$9	\$405	\$18	\$
Independent Claims	17	14	\$42	\$588	\$84	\$
<input checked="" type="checkbox"/> Multiple Dependent Claims			\$140	\$140	\$280	\$
Total Filing Fee:				\$1,503		\$

Fees:**\$2,418.00**


PATENT



ATTORNEY DOCKET NO. DASI.005.00US

- ☒ [X] A check in the amount of \$2,418.00 is attached.
- ☒ [X] Please charge Deposit Account No. 18-0020 in the amount of \$40.00 (see Recordation Cover Sheet).
- ☐ [] No fee is required.
- ☒ [X] Conditional Petition for Extension of Time: An extension of time is requested in the present and/or the above-referenced parent application to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.
- ☒ [X] The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ [X] Any filing fees under 37 CFR 1.16 including fees for the presentation of extra claims.
- ☒ [X] Any parent application processing fees under 37 CFR 1.17.
- ☒ [X] A **duplicate** copy of this sheet is attached for accounting purposes.

Dated: September 23, 2002


Barbara Rae-Venter, Ph.D.
Reg. No. 32,750

Rae-Venter Law Group, P.C.
PO Box 1898
Monterey, CA 93942-1898
Phone: (831) 648-3090
Facsimile: (831) 242-0137

BRV/ma

PATENT

ATTORNEY DOCKET NO. DASL005.00US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Philippe Pouletty *et al.*

Serial No.: 10/057,791

Filed: January 23, 2002

Title: **New amphetamine derivatives, anitbodies
against them and pharmaceutical
compositions containing them**

) Examiner: Not Yet Assigned

) Art Unit: Not Yet Assigned

) **RESPONSE TO NOTICE TO FILE**
) **MISSING PARTS**

BOX MISSING PARTS
Commissioner For Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts mailed March 22, 2002, Applicants have attached hereto (a) five executed combined inventor declarations/powers of attorney and (b) a petition under 37 CFR 1.47(a) for filing on behalf of Dr Philippe Pouletty who has failed to return a signed declaration despite several requests for him to do so (see Petition under 37 CFR 1.47 (a) and attached Declarations). The time period for responding to the Notice to File Missing

10/02/2002 MMEKONEN.00000067 10057791

03 FC:201	370.00 8P
04 FC:202	588.00 8P
05 FC:203	405.00 8P
06 FC:204	140.00 8P
07 FC:205	65.00 8P

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231:

September 23 2002
(Date)

K. Parken
(Signature)


Karen Parken
(Printed Name)

PATENT

ATTORNEY DOCKET NO. DASI.005.00US

Parts has been extended by the attached petition for extension of time and the requisite fee.

Dated: September 25, 2002


Barbara Rae-Venter, Ph.D.
Reg. No. 32,750

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PO Box 1898
Monterey, CA 93942-1898
Phone: (831) 648-3090
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BRV/kp



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/057,791	01/23/2002	Philippe Pouletty	DASI.005.00US

Rae-Venter Law Group, P.C.
P. O. Box 60039
Palo Alto, CA 94306-0039



CONFIRMATION NO. 9331

FORMALITIES LETTER



OC000000007694725

Date Mailed: 03/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/02/2002 HHEKONEN 00000067 10057791

01-FC:218

720.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$1133.
 - \$405 for 45 total claims over 20.
 - \$588 for 14 independent claims over 3 .
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1568.

10/02/2002 HHEKONEN 00000067 10057791

02 FC:122	130.00 OP
03 FC:201	370.00 OP
04 FC:202	588.00 OP
05 FC:203	405.00 OP
06 FC:204	140.00 OP
07 FC:205	65.00 OP

copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

1131

RAE-VENTER LAW GROUP PC

P.O. BOX 1898
 MONTEREY, CA 93942
 PH: (831) 648-3090

GREATER BAY BANK

CARMEL
 CARMEL BY THE SEA, CA 93921
 90-3909-1211

9/23/2002

PAY TO THE
 ORDER OF Director Patents & Trademarks

\$**2,418.00

Two Thousand Four Hundred Eighteen and 00/100*****

DOLLARS

Patent and Trademark Office
 Washington, DC 20231

MEMO

DASI.005.00US Pet Ext time: 1.47 petition; filing fees; surchar



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AE-VENTER LAW GROUP PC

Director Patents & Trademarks

9/23/2002

1131

Surcharge
 Extension of time (4 mths)
 Petition 1.47(a)
 Filing fees

65.00
 720.00
 130.00
 1,503.00



General Operations Greater DASI.005.00US Pet Ext time; 1.47 petition; filing fees; su

2,418.00



The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ Patent Application, _____ pps.
specification, _____ pps claims,
_____ pps abstract
- ☐ Drawings: _____ sheets of
informal / formal
- ☒ Application Transmittal *Response to NFMP*
- ☒ Return receipt postcard
- ☒ *Response to Notice to File Missing Parts*
- ☐ Issue Fee Transmittal
- ☐ Notice of Appeal
- ☐ Appeal Brief (3 copies) _____ pages
- ☒ PTO Box# *Missing Parts*
- ☐ Express Mail Label _____
- ☒ Other *Duplicate copy of transmittal, Declaration by Bev of Facts in Support of Filing on Behalf of Inventor, Exhibits A-G (20 sheets); Declaration by Holly Tucker of Facts in Support of Filing on Behalf of Inventor*
- Serial No.: *10/057,701* Attorney Docket No.: *DAI 0050005*
- Attorney/Secretary *MA/UP* Mailing Date: *September 23 2002*
- ☒ *Five (5)* Executed ~~unexecuted~~ Declaration/POA
- ☒ Assignment - *Six (6) Pages*
- ☐ Verified Small Entity Statement
- ☐ Rule 132 Declaration
- ☐ 312 Amendment after Allowance
- ☒ Notice to File Missing Parts of App. (Part 2)
- ☐ Information Disclosure Statement
- ☒ Petition for Extension of Time
- ☐ Certificate of Correction
- ☐ IDS Transmittal, IDS Statement
- PTO-1449 [No. of References _____]
- ☐ Status Inquiry
- ☒ Check No. *1131* in amount of \$ *2418 -*
- Petition to File under 37 CFR 1.47a*
- Declaration by Bev of Facts in Support of Filing on Behalf of Inventor, Exhibits A-G (20 sheets); Declaration by Holly Tucker of Facts in Support of Filing on Behalf of Inventor*
- Recordation Form Cover Sheet*